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12/03/2004

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKETNO.

10/581,431

Carlos F Barbas

39843

BELL & ASSOCIATES 58 West Portal Avenue No. 121 SAN FRANCISCO, CA 94127

INTERNATIONAL APPLICATION NO.

PCT/US04/40381

I.A. FILING DATE PRIORITY DATE

> 12/03/2003 CONFIRMATION NO. 5101

371 FORMALITIES LETTER



Date Mailed: 05/14/2009

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Priority Document
- Copy of the International Application filed on 06/02/2006
- Copy of the International Search Report filed on 06/02/2006
- Copy of IPE Report filed on 06/02/2006
- Preliminary Amendments filed on 06/02/2006
- Biochemical Sequence Diskette filed on 08/18/2008
- Oath or Declaration filed on 02/08/2008
- Biochemical Sequence Listing filed on 06/04/2007
- Request for Immediate Examination filed on 06/02/2006
- U.S. Basic National Fees filed on 06/02/2006
- Priority Documents filed on 06/02/2006
- Power of Attorney filed on 06/02/2006

Applicant's response filed 02/08/2008 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/06/2007 have not been completed.

 A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823 as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Refer to attachment or PAIR document dated 08/18/2008.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 plages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

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Best Available Copy

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-8028 / 703-308-8845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

if you are not using EFS-Web to submit your reply, you must include a copy of this notice.

LAMONT M HUNTER	
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PTO/SB/21 (01-08)

Approved for use through 02/29/2008, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMR control number. Application Number 10/581.431 TRANSMITTAL Filing Date 2nd June 2006 First Named Inventor **FORM** BARBAS, Carlos F. Art Unit TBA Examiner Name TBA (to be used for all correspondence after initial filing) Attorney Docket Number TSRI 1019.1 US 27 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): **Extension of Time Request** Return receipt postcard; cover letter; Request for Refund Express Abandonment Request copy of notification of defective response; CD. Number of CD(s) Information Disclosure Statement paper copy of sequence histing Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ 1 Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name **Rell & Associates** Signature Printed name Matthew Kaser Reg. No. Date 44,817 12 June 2009 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 12 June 2009 Matthew Kaser Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No.: TSRI 1019.1 US

USPS Express Mail Service No. EH 676113940 US

I hereby certify under 37 CFR § 1.10 that this document and referenced attachments are being deposited with the United States Postal Service in an envelope addressed to:

Mail Stop PCT, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450

on <u>12th June 2009</u>

Printed: Matthew R. Ka Signed:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase Application of: BARBAS, Carlos F. and CHUNG, Junho

Title: INTEGRIN α₁₁Bβ₃ SPECIFIC ANTIBODIES AND PEPTIDES

U.S. Application No.

10/581,431

International application number:

PCT/US04/040381

International Filing Date:

3 December 2004

Priority application number:

US 60/526,859

Priority date:

3 December 2003

To:

Mail Stop PCT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Enclosed:

Return receipt postcard
Transmittal Form
Response to Notification of Defective Response
Statement of Submission of Sequence Listing in CRF
Sequence Listing, paper copy
Sequence Listing, CFR, CD-ROM

Copy of Notification of Defective Response mailed 05/14/2009

Respectfully submitted,

Matthew Kaser, D.Phil.

Reg. No. 44,817

Bell & Associates

58 West Portal Avenue, # 121 San Francisco, California 94127

Tel: (510) 537-2040 Fax: (415) 276-6040 mkaser@bell-iplaw.com Express Mail Service No. EH 676113940 US. I hereby certify under 37 CFR § 1.10 that this document and referenced attachments are being deposited with the United States Postal Service in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

On: 12 June 2009

Signed:

Printed: Matthew Kase

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

US Application No.: 10/581,431

International Application No.: PCT/US04/40381 International Filing Date: 3 December, 2004

Title: Integrin $\alpha_{IIb}\beta_3$ Specific Antibodies and Peptides Inventors: BARBAS, Carlos F. and CHUNG, Junho

Attorney Docket No. TSRI 1019.1 US

Response to Notification of Defective Response

Sir,

This is a Response to the Notification of Defective Response, mailed May 14, 2009. This response is timely filed.

The Notification states that Applicants Response (filed February 8, 2008) to the Notification to Comply with Requirements, mailed February 6, 2007 have not been completed.

Applicants herewith submit a substitute Sequence Listing both in paper and electronic format.

Applicants believe that this response does not require payment of a fee. However, if the Commissioner finds any additional charges or fees must be paid in connection with this communication, they may be paid out of **Bell & Associates Deposit Account No. 50-3194.** The Commissioner is also authorized to credit any overpayment.

A copy of the Notification of Defective Response is enclosed.

Respectfully submitted,

Matthew Kaser, D.Phil.

44,817

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